



#74

PTO/SB/81 (06-03)
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INDICATION FORM**

Application Number	08/983,332
Filing Date	03/05/1998
First Named Inventor	Richard L. Cloud
Title	Circuit Apparatus and ...
Art Unit	3744
Examiner Name	M. Shulman
Attorney Docket Number	ZI056/96001

I hereby appoint:

☒ Practitioners at Customer Number:

OR

☐ Practitioner(s) named below:



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Name	Registration Number

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Please recognize or change the correspondence address for the above-identified application to:

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☐ The address associated with Customer Number:

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<input checked="" type="checkbox"/> Firm or Individual Name	H. Roy Berkenstock				
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Country	United States				
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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

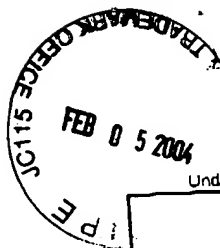
Name	Tube Ice		
Signature	<i>Clifford S. Berkenstock, CFO</i>		
Date	7/23/03	Telephone	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/88 (08-03)

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: TUBE ICE, LLCApplication No./Patent No.: 08/983,332 Filed/Issue Date: 3/5/98Entitled: CIRCUIT APPARATUS AND CONFIGURATION FOR REFRIGERATION SYSTEMS

TUBE ICE, LLC a KENTUCKY LIMITED LIABILITY COMPANY
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.
 The extent (by percentage) of its ownership interest is _____ %
 in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: RICHARD L. CLOUD To: ICE ONE, INC.
 The document was recorded in the United States Patent and Trademark Office at
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2. From: ICE ONE, INC. To: TUBE ICE, LLC
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.
 [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

1/28/04
 Date
(940) 387-4301
 Telephone number

CLIFFORD WORTHINGTON

Typed or printed name

Signature

CFO

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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